

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

51876P839

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/531632

INTERNATIONAL APPLICATION NO.

PCT/KR2003/002149

INTERNATIONAL FILING DATE

15 October 2003

PRIORITY DATE CLAIMED

10/15/02; and 10/14/03

TITLE OF INVENTION

METHOD FOR GENERATING AND CONSUMING 3-D AUDIO SCENE WITH EXTENDED SPATIALITY OF SOUND SOURCE

APPLICANT(S) FOR DO/EO/US

Jeong Il SEO, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)).
20. ☒ Other items or information.

Transmittal of Formal Drawings: Figs. (4 pp.; 10 figs); Int'l Publ. No. WO 2004/036955 A1; PCT Int'l Search Rpt. (3 pp.); PCT Request (5 pp.); Form PCT/IB/416 (1 p.) and 409 (3 pp.); Form PCT/IB/332 (1 p.); and return postcard

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|---|---|---|---|---------------------------------------|---------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/531632 | | INTERNATIONAL APPLICATION NO. PCT/KR2003/002149 | | ATTORNEY'S DOCKET NUMBER 51876P839 | |
| The following fees have been submitted | | | | CALCULATIONS | PTO USE ONLY |
| 21. | <input checked="" type="checkbox"/> Basic national fee | \$300 | \$ | 300.00 | |
| 22. | <input checked="" type="checkbox"/> Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33 (1)-(4) All other situations | \$100 \$200 | \$ | 100.00 | |
| 23. | <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority International Search Report prepared and provided to the Office..... All other situations | \$100 \$400 \$500 | | 400.00 | |
| TOTAL OF 21, 22 and 23 = | | | \$ | 800.00 | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 16 | - 100 = | -84 / 50 = | x | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 12- 20 = | 0 | x 50 | \$ | 0.00 |
| Independent claims | 3 - 3 = | 0 | x 200 | \$ | 0.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + 360 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | 800.00 |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees are reduced by 1/2. | | | | \$ | 800.00 |
| SUBTOTAL = | | | | \$ | 400.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | 400.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| Other fee (specify): | | | | | |
| TOTAL FEES ENCLOSED = | | | | \$ | 400.00 |
| Amount to be refunded: | | | | | \$ |
| charged: | | | | | \$ |
| <p>a. <input checked="" type="checkbox"/> A check(s) in the amount of \$ <u>400.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-2666</u> in the amount of \$ <u>400.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-2666</u>. A duplicate copy of this sheet is enclosed.</p> | | | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status.</p> | | | | | |
| <p>SEND ALL CORRESPONDENCE TO: Blakely, Sokoloff, Taylor & Zafman LLP 12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800</p> | | | <p style="text-align: center;">4/14/08</p> <p>DATE</p> <hr/> <p style="text-align: center;"></p> <p>SIGNATURE</p> <hr/> <p>Eric S. Hyman</p> <p>NAME</p> <hr/> <p>30,139</p> <p>REGISTRATION NUMBER</p> | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

JEONG IL SEO, ET AL.

Application No.:

Filed:

For: **METHOD FOR GENERATING AND
CONSUMING 3-D AUDIO SCENE WITH
EXTENDED SPATIALITY OF SOUND
SOURCE**

Art Group:

Examiner:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 4 sheets including 10 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: 4/14/05

Eric S. Hyman, Reg. No. 30,139

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